



DEPARTMENT OF THE NAVY

OFFICE OF COUNSEL
NAVAL UNDERSEA WARFARE CENTER DIVISION
1176 HOWELL STREET NEWPORT RI 02841-1708

IN REPLY REFER TO

Attorney Docket No. 101380
14 Apr 14

The below identified patent application is available for licensing. Requests for information should be addressed to:

TECHNOLOGY PARTNERSHIP ENTERPRISE OFFICE
NAVAL UNDERSEA WARFARE CENTER
1176 HOWELL ST.
CODE 07TP, BLDG. 102T
NEWPORT, RI 02841

Serial Number 13/556,567
Filing Date 24 July 2012
Inventor David A. Tonn

Address any questions concerning this matter to the
Office of Technology Transfer at (401) 832-1511.

DISTRIBUTION STATEMENT
Approved for Public Release
Distribution is unlimited

Report Documentation Page			Form Approved OMB No. 0704-0188		
Public reporting burden for the collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to a penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.					
1. REPORT DATE 14 APR 2014		2. REPORT TYPE		3. DATES COVERED 00-00-2014 to 00-00-2014	
4. TITLE AND SUBTITLE Cylindrical Antenna Using Near Zero Index Metamaterial			5a. CONTRACT NUMBER		
			5b. GRANT NUMBER		
			5c. PROGRAM ELEMENT NUMBER		
6. AUTHOR(S)			5d. PROJECT NUMBER		
			5e. TASK NUMBER		
			5f. WORK UNIT NUMBER		
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Technology Partnership Enterprise Office,Naval Undersea Warfare Center, 1176 Howell St.,Code 07TP, Bldg. 201T,Newport,RI,02841			8. PERFORMING ORGANIZATION REPORT NUMBER		
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)			10. SPONSOR/MONITOR'S ACRONYM(S)		
			11. SPONSOR/MONITOR'S REPORT NUMBER(S)		
12. DISTRIBUTION/AVAILABILITY STATEMENT Approved for public release; distribution unlimited					
13. SUPPLEMENTARY NOTES					
14. ABSTRACT					
15. SUBJECT TERMS					
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT Same as Report (SAR)	18. NUMBER OF PAGES 17	19a. NAME OF RESPONSIBLE PERSON
a. REPORT unclassified	b. ABSTRACT unclassified	c. THIS PAGE unclassified			

**CYLINDRICAL ANTENNA
USING NEAR ZERO INDEX METAMATERIAL**

STATEMENT OF GOVERNMENT INTEREST

[0001] The invention described herein may be manufactured and used by or for the Government of the United States of America for governmental purposes without the payment of any royalties thereon or therefor.

CROSS REFERENCE TO OTHER PATENT APPLICATIONS

[0002] None.

BACKGROUND OF THE INVENTION

(1) Field of the Invention

[0003] The present invention is generally directed towards a cylindrical antenna and more specifically directed towards a cylindrical antenna using near zero index metamaterial.

(2) Description of the Prior Art

[0004] A near-zero index (NZI) metamaterial is an engineered material that is designed to produce anomalous refraction over a certain range of frequencies. It gets its name from the fact that its effective index of refraction n from Snell's Law of Optics is approximately equal to zero. This near-zero index of refraction implies that "rays" penetrating a planar interface between an NZI material and free space will always refract so that they are

nearly parallel with the normal to the interface. If such an NZI material is used as a superstrate or cover layer above a planar antenna, the result will be an increase in the gain of the antenna, as a result of the collimation of the energy leaving the structure. In other words, an increase in overall directivity is obtained by the introduction of the NZI layer into a planar antenna.

[0005] Chaimool et al. discloses use of a metamaterial reflective surface (MRS) as a superstrate for a single-feed circularly polarized microstrip patch antenna (SFCP-MPA). Simultaneous enhancement on antenna gain, impedance bandwidth (ZBW) and axial-ratio bandwidth (ARBW) are obtained by adding the MRS atop the SFCP-MPA. The MRS can enhance the ZBW and ARBW by 3.5 and 9.9 times, respectively, compared to the circularly polarized patch source. Moreover, the gain of the CP-MPA with the MRS is 7dB higher than that of the conventional CP-MPA. A relatively small spacing between the MRS and patch source results in a low profile antenna that well suits modern wireless communications. S. Chaimool, K. L. Chung, and P. Akkaraekthalin, "Simultaneous gain and bandwidths enhancement of a single-feed circularly polarized microstrip patch antenna using a metamaterial reflective surface," *Progress In Electromagnetics Research B*, Vol. 22, 23-37, 2010.

[0006] D.H.Lee et al. discloses a device for enhancing the directivity and port isolation of a dual-frequency dual-polarization (DFDP) microstrip antenna by using metamaterial superstrates and substrates. To enhance the directivity of this without a complex feed network, the device includes a strip-mesh type of Frequency Selective Surface (FSS) type superstrate as a cover for a DFDP antenna, which supports two orthogonal polarizations at two different resonant frequencies. Then a 2D anisotropic uniplanar compact band gap type ground plane is introduced to enhance the isolation between the two ports of the DFDP antenna. The directivity at two frequency bands is enhanced by about 13 dB in the first band and by ~12 dB in the second band, when compared with the patch antenna alone. Also, the isolation between the two ports is shown to be -35 dB, which is -15 dB lower than that of a conventional patch antenna. Lee, D. H., Lee, Y. J., Yeo, J., Mittra, R. and Park, W. S. "Design of metamaterial superstrates and substrates for directivity and port isolation enhancement of a dual-frequency dual-polarization microstrip antenna", *Microwave and Optical Technology Letters*, 48: 1873-1876 (2006). Published online in Wiley InterScience (www.interscience.wiley.com).

[0007] The theoretical modeling and prototype demonstration of broadband, low loss, and dual polarization capability of a gradient index metamaterial lens using multilayer microstrip

square ring arrays includes microstrip closed square ring elements of variable size distributed on a planar substrate to satisfy the radial gradient index function and axial impedance match layer configuration of the lens. The lens is designed to transform spherical wave-front into planar wave-front and minimize reflection loss. A prototype gradient index lens antenna composed of multilayer of CSR arrays and a PEC horn are modeled and simulated. The simulation results shows that the prototype lens antenna maintain low return loss and high directivity over X-band, verifying the feasibility of such a light weight slab metamaterial lens for broadband and high directivity antenna application, such as in radar and communication system. Hang Zhou et al, "A Novel High-Directivity Microstrip Patch Antenna Based on Zero-Index Metamaterial," *IEEE Antennas and Wireless Prop. Letters*, vol.8, no., pp.538-541, 2009.

[0008] Metamaterials have also been used for a traditional Vivaldi antenna having an ultrawide bandwidth, but low directivity. To enhance the directivity, a high-gain Vivaldi antenna is based on compactly anisotropic zero-index metamaterials (ZIM). Such anisotropic ZIM are designed and fabricated using resonant meanderline structures, which are integrated with the Vivaldi antenna smoothly and hence have compact size. Measurement results show that the directivity and gain of the Vivaldi antenna have been enhanced significantly in the bandwidth of anisotropic

ZIM (9.5-10.5 GHz), but not affected in other frequency bands (2.5-9.5 GHz and 10.5-13.5 GHz). Bin Zhou and Tie Jun Cui, "Directivity Enhancement to Vivaldi Antennas Using Compactly Anisotropic Zero-Index Metamaterials", *IEEE Antennas and Wireless Prop. Letters*, Vol. 10, 2011.

SUMMARY OF THE INVENTION

[0009] Accordingly, it is an object of the present invention to provide a cylindrical antenna and method with a near-zero metamaterial to increase both gain and bandwidth of the antenna.

[0010] The above and other objects and advantages of the present invention will become apparent in view of the following description, claims and drawings. A cylindrical antenna includes: a hollow cylinder having a height, an inner radius b , an axis, a cylindrical surface, and two ends; a ground plane on one end of the cylinder; an antenna wire extending from a center of the cylinder at one end on a ground plane along the axis and ending below the height of the cylinder; and a layer of near zero index (NZI) metamaterial surrounding and adjacent to the cylindrical surface.

BRIEF DESCRIPTION OF THE DRAWINGS

[0011] FIG. 1A is a schematical side view of a planar patch antenna constructed of normal materials;

[0012] FIG. 1B is a schematical side view of a planar patch antenna including a layer of near-zero index metamaterial;

[0013] FIG. 2 is a cylindrical antenna including a layer of near-zero index metamaterial surrounding the cylindrical surface according to the principles of the invention;

[0014] FIG. 3 is a chart of operational test data of a cylindrical antenna including a layer of NZI metamaterial showing an S parameter magnitude in decibels in relation to frequency variation in GHz; and

[0015] FIG. 4 is a chart of operational test data of a cylindrical antenna including a layer of NZI metamaterial showing the gain in decibels in relation to frequency variation in GHz.

DESCRIPTION OF THE PREFERRED EMBODIMENT(S)

[0016] This invention uses the unusual properties of a near zero index metamaterial to improve the gain of a cylindrical antenna, while also providing a significant increase in the antenna bandwidth. In the first models of this invention, the NZI metamaterial was a hollow cylinder whose axis antenna was coincident with the axis of the cylindrical antenna driving the system. Offsetting the axis of the antenna from the axis of the cylinder, while keeping the two axes parallel, also provides bandwidth improvement.

[0017] An NZI metamaterial is an engineered material that is designed to produce anomalous refraction over a certain range of frequencies. It gets its name from the fact that its effective index of refraction n from Snell's Law of Optics is approximately equal to zero. This near-zero index of refraction implies that "rays" detected by the antenna that are penetrating a planar interface between an NZI material and free space will always refract so that they are nearly parallel with the normal to the interface. If such an NZI material is used as a superstrate or cover layer above a planar antenna, the result will be an increase in the gain of the antenna, as a result of the collimation of the energy leaving the structure (i.e. an increase in overall directivity is obtained by the introduction of the NZI layer.) This effect is illustrated in Figures 1A and 1B.

[0018] Figure 1A is a schematical side view of a planar patch antenna 10 constructed of normal materials 16 without the use of any NZI metamaterial, and including a ground plane 12 whereby the rays 14 detected by the antenna 10 are refracted in various directions that are not necessarily parallel with the normal to the interface with the ground plane 12.

[0019] Figure 1B is a schematical side view of a planar patch antenna 20 constructed with a layer 26 of NZI metamaterial, and including a ground plane 22 whereby the rays 24 detected by the

antenna 20 are refracted nearly parallel, normal to the interface with the ground plane 22.

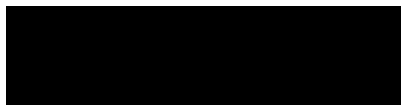
[0020] The NZI layer is spaced approximately one half of a guided wavelength above the patch antenna in order to create a pseudo-Fabry-Perot cavity effect that appears to further enhance the gain of the antenna. The present invention extends the idea of using the cavity effect combined with the anomalous refractive properties of NZI materials to a cylindrical geometry.

[0021] Figure 2 illustrates a cylindrical antenna 40, in this case a tuned monopole 52 having a length 42 of 95 mm and a radius of 1 mm, above a truncated ground plane 46, inside a hollow cylinder 48 surrounded along the outer cylindrical surface by a layer 50 of NZI metamaterial. The inner radius 44 of the cylinder 48 is 110 mm, and the outer radius from the center of the monopole 52 to the outside of the NZI layer is 130 mm. The NZI layer 50 has a thickness 56 of 20 mm, and the height 54 of the cylinder 48 is 400 mm. The cylinder could be filled with air, a low dielectric foam or similar material for support. Other antennas which are rotationally symmetrical about their vertical axes could also be used. These include, but are not be limited to, dipole antennas and biconical antennas.

[0022] The anomalous refraction occurs only in the elevation plane here, owing to the symmetry of the problem. So it is reasonable to expect that the amount of improvement in gain

performance would not be as high here as it would be in the planar cases reported in the literature where anomalous refraction can occur in two planes (the two cardinal planes mutually orthogonal to each other and to the plane of the patch antenna.)

[0023] The NZI medium is represented using the so-called Effective Medium Theorem or EMT. Under the EMT, the material is characterized as being uniform but its dielectric properties are given by a dispersion relationship. If the material is isotropic, this relationship is a simple algebraic one; if the material is anisotropic, the dispersion relationship becomes a tensor relationship. For the purposes of our discussion here, the material will be assumed to be isotropic and its dielectric constant given by the lossy Drude Model, popularly used throughout the literature: (assuming $\exp(j\omega t)$ time variation)



(1)

[0024] In Equation (1), there are two figures of merit that define the frequency dependence of the dielectric constant of the material; these are the effective plasma frequency ω_p and the damping coefficient, γ . An examination of this formula shows that the region of NZI performance occurs near the effective plasma frequency ω_p , since the refractive index n varies as the square

root of the real portion of the dielectric constant. It is therefore a key aspect of this invention that the antenna operates near this effective plasma frequency ω_p in order to obtain the anomalous refraction that the NZI cylinder produces.

[0025] The proper choice of the inner diameter of the cylinder 48 is also very important for proper operation of this invention. Much like in the case of the planar antennas reported in the literature, the best performance here appears to be obtained when the cylinder supports a resonant cavity mode. Unlike the planar case, though, the resonant condition here does not occur at a half-wave resonance.

[0026] In one embodiment, the resonant wave number k_r may be defined by a transcendental equation. Assuming that the resonance of the cylinder occurs near the plasma frequency ω_p of the NZI metamaterial, the wave number k_r can be shown to satisfy the following eigenvalue Equation (2).



(2)

[0027] Here, J and Y are first-order Bessel functions of the first and second kind, respectively, k_r is the resonant wave number, and η is a geometry factor involving the ratio of the inner radius of the cylinder, b , and the outer radius of the antenna. It is a further key aspect of this invention that the inner radius of the cylinder, b , satisfies this eigenvalue

equation near the frequency of operation. It has been observed that the performance of this invention appears to be insensitive to the value of the outer radius of the cylinder, meaning that the cylinder could in fact be implemented as a thin sheet of material. Also, the thickness of the NZI layer 50 is not critical.

[0028] Figures 3 and 4 show the simulated Voltage Standing Wave Ratio (VSWR) and realized gain (in decibel units) of one example of this invention. For the purposes of the EMT used in the model, the plasma frequency ω_p was chosen to be 900 MHz and the damping is $\gamma = 20$ MHz. The results were obtained using CST Microwave Studio - a commercial simulation package based on the finite integral method of simulation. The data presented in Figure 3 indicates that the fractional bandwidth BW of the structure is on the order of 20%. A simulation was performed without the cylinder shown in Figure 2 present (i.e. with only the monopole antenna and ground plane present) and the fractional bandwidth of that structure was seen to be only $\sim 5\%$. Thus, the property of improved bandwidth is demonstrated in this model.

[0029] The effect of increased gain is shown in Figure 4. A peak gain of 3.8 dBi is noted; without the cylinder present, the gain was only around 0 dBi. Decibels relative to isotropic or dBi is defined as the measurement of gain in a directional antenna compared with a theoretical "isotropic antenna," which radiates the exact same energy in all directions. Thus the method of this

invention allows an increase in realized gain of over 3dB to be readily obtained.

[0030] Of note in Figure 4 is the "dropout" near 900 MHz; this is an artifact of the EMT model used. Since the plasma frequency ω_p was 900 MHz, the equation for the dielectric constant gives a value of very nearly zero at 900 MHz. This translates to a nearly infinite intrinsic impedance which results in a near total mismatch between the NZI cylinder and the air inside of it at 900 MHz. This mismatch causes the dropout. In a real NZI material, the material would not be perfectly homogenous and isotropic, and so this effect would be minimized.

[0031] The foregoing description of the preferred embodiments of the invention has been presented for purposes of illustration and description only. It is not intended to be exhaustive or to limit the invention to the precise form disclosed; and obviously many modifications and variations are possible in light of the above teaching. Such modifications and variations that may be apparent to a person skilled in the art are intended to be included within the scope of this invention as defined by the accompanying claims.

**CYLINDRICAL ANTENNA
USING NEAR ZERO INDEX META-MATERIAL**

ABSTRACT OF THE DISCLOSURE

A cylindrical antenna includes: a hollow cylinder having a height, an inner radius b , an axis, a cylindrical surface, and two ends; a ground plane on one end of the cylinder; an antenna wire extending from a center of the cylinder at one end on a ground plane along the axis and ending below the height of the cylinder; and a layer of near zero index (NZI) metamaterial surrounding and adjacent to the cylindrical surface.

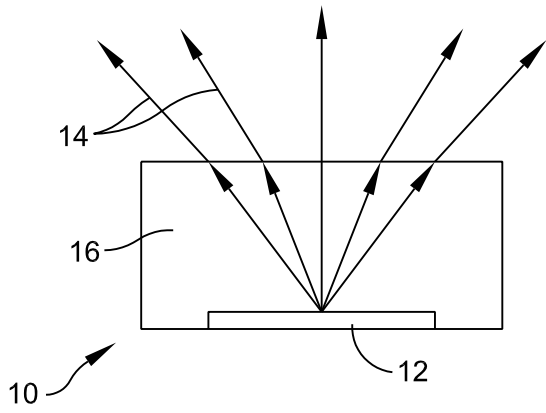


FIG. 1A
(PRIOR ART)

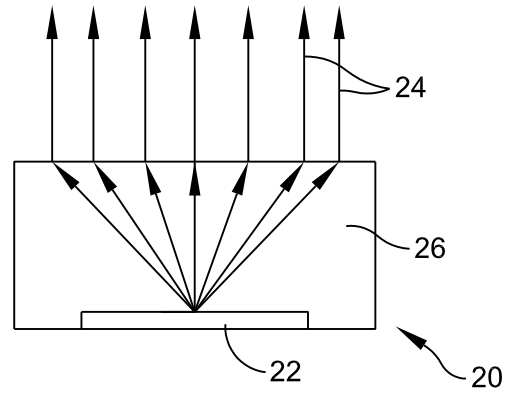


FIG. 1B
(PRIOR ART)

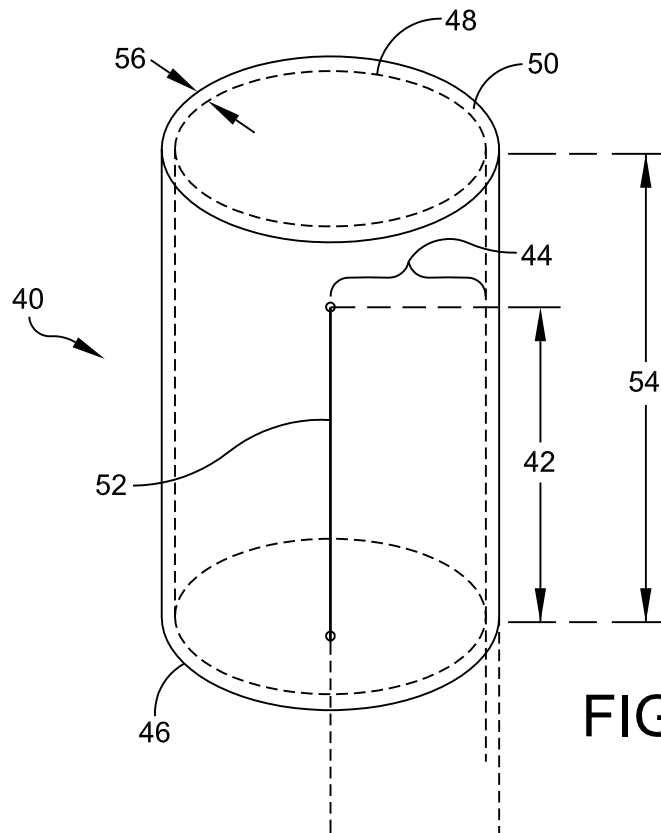


FIG. 2

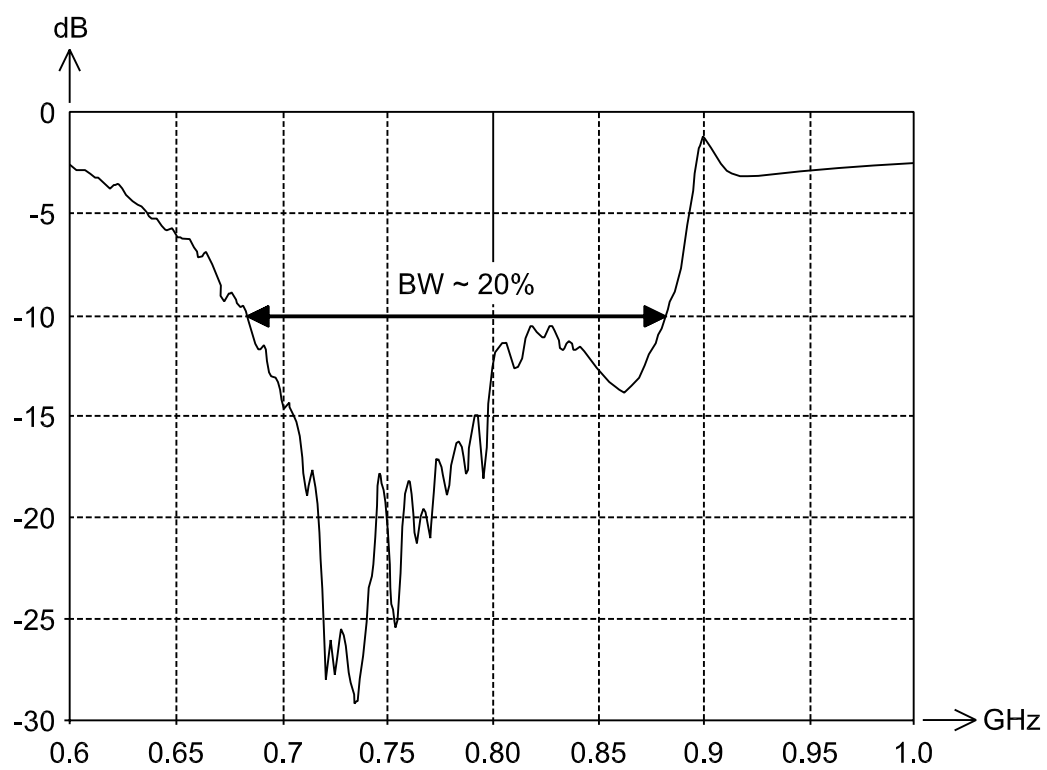


FIG. 3

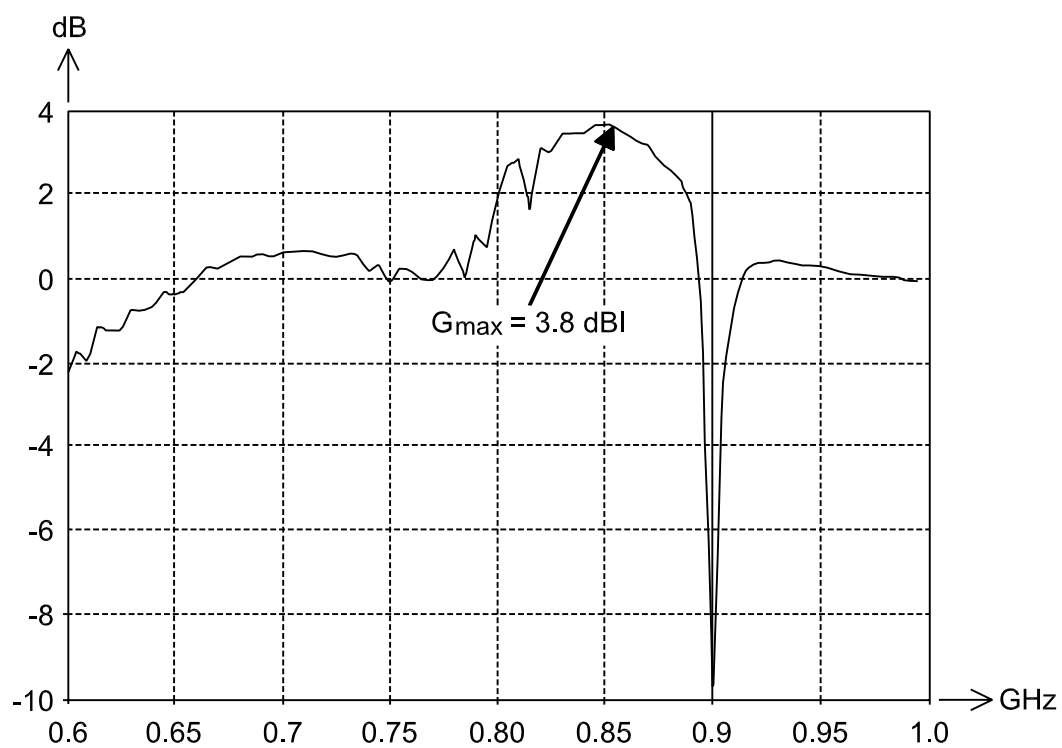


FIG. 4